JAP15 Rec'd PCT/PTO 27 JUL 2006

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SURMISSION LINDER 35 U.S.C. 374

ATTORNEY'S DOCKET NUMBER
BB-171
U.S. A. P. L. F. I.C. N. L. D. V. I.C. W. S. 2.37 CER 1.5

| CONCERNING A SUBMISSION UNDER 35 U.S.C. 371  |   |  |  |  |  |
|--|---|--|--|--|--|
| INTERNATIONAL APPLICA<br>PCT/EP2005/000873   | , ==, ===,  |  |  |  |  |
| ritle OF INVENTION<br>Method for Identifying and Quantifying of Tumour-Associated Peptides |   |  |  |  |  |
| APPLICANT(S) FOR DO/E  | APPLICANT(S) FOR DO/EO/US   |  |  |  |  |
| Claudia Lemmel and F Applicant herewith subm   |   | * * · · · · · · · · · · · · · · · · · ·        | O/US) the following items and other information: |  |  |
|  | oplicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |  |  |  |  |
|  | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.  This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. |  |  |  |  |
|  |   | •  |  |  |  |
|  | s request to begin natio<br>21) indicated below.  | nal examination procedures (35 U.S.C. 37       | 1(f)). The submission must include items         |  |  |
| 4. The US has been   | elected (Article 31).   |  |  |  |  |
| 5. A copy of the In  | ternational Application   | as filed (35 U.S.C. 371(c)(2))                 |  |  |  |
| a. 🔲 is atta   | ched hereto (required   | only if not communicated by the Internation    | nal Bureau).                                     |  |  |
| b. 🗸 has be  | een communicated by   | the International Bureau.                      |  |  |  |
| c. $\square$ is not  | c. is not required, as the application was filed in the United States Receiving Office (RO/US).   |  |  |  |  |
| 6. An English lang   | uage translation of the   | International Application as filed (35 U.S.C   | C. 371(c)(2)).                                   |  |  |
| a. 🗖 is atta   | ached hereto.   |  |  |  |  |
| b. has b   | een previously submitt  | ed under 35 U.S.C. 154(d)(4).                  |  |  |  |
| 7. Amendments to   | the claims of the Inter   | national Application under PCT Article 19 (    | 35 U.S.C. 371(c)(3))                             |  |  |
| a. $\square$ are a   | a. are attached hereto (required only if not communicated by the International Bureau).   |  |  |  |  |
| b. D have  | b. have been communicated by the International Bureau.  |  |  |  |  |
| c. have  | not been made; howe   | ver, the time limit for making such amendn     | nents has NOT expired.                           |  |  |
| d. have  | not been made and w   | ill not be made.                               |  |  |  |
| 8. An English lang   | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).   |  |  |  |  |
| 9. An oath or decla  | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). unsigned   |  |  |  |  |
| 10. An English lang<br>Article 36 (35 U  |   | annexes of the International Preliminary E     | xamination Report under PCT                      |  |  |
| Items 11 to 20 below o   | concern document(s)   | or information included:                       |  |  |  |
| 11. An Information I   | Disclosure Statement υ  | under 37 CFR 1.97 and 1.98.                    |  |  |  |
| 12. An assignment  | document for recording  | g. A separate cover sheet in compliance wi     | th 37 CFR 3.28 and 3.31 is included.             |  |  |
| 13. A preliminary an   | A preliminary amendment.  |  |  |  |  |
| 14. An Application D   | Data Sheet under 37 C   | FR 1.76.                                       |  |  |  |
| 15. A substitute spe   | A substitute specification.   |  |  |  |  |
| 16. A power of attor   | A power of attorney and/or change of address letter.  |  |  |  |  |
| 17. A computer-read  | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.   |  |  |  |  |
| 18. A second copy of   |   |  |  |  |  |
| 19. A second copy of   | of the English language   | e translation of the international application | under 35 U.S.C. 154(d)(4).                       |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## IAP5 Rec'd PCT/PTO 2 7 JUL 2006

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| U.S. APPLICATION   | ON NO. (if TO)   | 587 Q   | INTERNATIONAL APP<br>T/EP2005/000873  |   | BB-171                  | CKET NUMBER  |
|--|--|---|---|---|-------------------------|--------------|
| 0. Other it  | ems or information   | n:  |   |   |                         |              |
| - C. II  | wing fees have be  | on submitted  |   |   | CALCULATIONS            | PTO USE ONLY |
|  | mational fee (37 C   | EFR 1.492(a))   |   | \$300   | \$ 300.00               |              |
|  |  |   |   |   |                         |              |
| 22. Examination fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  All other situations |  |   |   | \$200.00  |                         |              |
| 23.  Sear<br>If the written opini<br>IPEA/US<br>Search fee (37 C)<br>International Sea   | ch fee (37 CFR 1.4 con of the ISA/US of indicates all claim FR 1.445(a)(2)) had searching Autrich Report prepare | 492(b)) or the Internationa s satisfy provision as been paid on th hority ed by an ISA othe | al preliminary examination repuis of PCT Article 33(1)-(4)e international application to  | oort prepared by\$0 the USPTO as an\$100 the Office or\$400 | \$ 400.00               |              |
| All other situation  | S  |   |   |   | \$ 900.00               |              |
| ■ sequence   | e listing in complia   | R 1.492(j)). Iditional 50 sheets  | d in paper over 100 sheets (e<br>1.821(c) or (e) or computer p<br>of paper or fraction thereof.<br>additional 50 or fraction<br>up to a whole number) | rogram listing in an  |                         |              |
| 70 - 100 =   | 0 /50 =  |   |   | x \$250   | \$                      |              |
| Comphesses of \$4  | 20 00 for furnishing   | g any of the searc<br>f the national stag   | h fee, examination fee, or the (37 CFR 1.492(h)).   | e oath or declaration                                       | \$                      | <u>.</u>     |
| CLAIMS   |  | BER FILED   | NUMBER EXTRA  | RATE  | \$                      |              |
| Total claims   | 36   | - 20 =  | 16  | x \$ 50   | \$ 800.00               |              |
| Independent cla  | ims 14   | - 3 =   | 11  | x \$200   | \$ 2200.00              |              |
|  | ENDENT CLAIM(  | S) (if applicable)  |   | + \$360   | \$                      |              |
|  |  |   |   | E CALCULATIONS =  | \$3900.00               |              |
| ✓ Applicant cl   | aims small entity s  | status. See 37 CF   | R 1.27. Fees above are redu   | iced by 1/2.  | \$1950.00               |              |
|  |  |   |   | SUBTOTAL  |                         | L            |
| Processing fee   | of \$130.00 for furr<br>date (37 CFR 1.49  | nishing the English<br>92(i)).  | translation later than 30 mo  | nths from the earliest<br>+                                 | \$                      |              |
| cialifica priority   |  |   | TOTA  | AL NATIONAL FEE   | \$ 1950.00              |              |
| Fee for recording  | ng the enclosed as   | signment (37 CFF<br>CFR 3.28, 3.31).  | R 1.21(h)). The assignment m<br>\$40.00 per property  | nust be accompanied<br>+                                    | \$                      |              |
| Ју ан арргорна   |  | ,   | TOTAL   | FEES ENCLOSED   | = \$ 1950.00            |              |
|  |  |   |   |   | Amount to be refunded:  | \$           |
|  | <del>_</del>   |   |   |   | Amount to be<br>charged | \$           |

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| _    |   |  |  |  |  |
|------|---|--|--|--|--|
| a. 🔲 | A check in the amount of \$   | to cover the abo                                     | ve fees is enclosed.                                   |  |  |
| b. 🔽 | Please charge my Deposit Account No. <u>19-0065</u> in the amount of \$ <u>1950.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.   |  |  |  |  |
| c. 🗸 | The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0065. A duplicate copy of this sheet is enclosed.                          |  |  |  |  |
| d. 🔲 | Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. |  |  |  |  |
|      | NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.            |  |  |  |  |
|      |   |  |  |  |  |
|      | LL CORRESPONDENCE TO:<br>JSTOMER NUMBER<br>23557  | July 27, 2006<br>——————————————————————————————————— | David R. Saliwanchik  NAME 31,794  REGISTRATION NUMBER |  |  |
|      |   |  |  |  |  |
|      |   |  |  |  |  |

#### 10/587876

Patent Application Docket No. BB-171

### IAPS Rec'd PCT/PTO 27 JUL 2006

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|------|------|--------|--|
| App] | IICa | III(S) |  |

Claudia Lemmel and Hans-Georg Rammensee

Docket No.

BB-171

For

Method for Identifying and Quantifying of Tumour-Associated

**Peptides** 

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### CERTIFICATE OF MAILING BY EXPRESS MAIL (37 CFR §1.10)

|                | ,                          |                           |
|----------------|----------------------------|---------------------------|
| Express Mail N | EQ022577705US ate of Depos | sit: <u>July 27, 2006</u> |

I hereby certify that the attached Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) with copies as required for authorization for use of Deposit Account No. 19-0065; Preliminary Amendment; and unsigned Declaration (37 CFR §1.63) and Power of Attorney, are being deposited with the United States Postal Service Express Mail Post Office to Addressee service under 37 CFR §1.10 on the date indicated above and are addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

David M. Gilland
Name of person mailing paper

Signature